

Fees and Charges Schedule

	Service	Rate (in HKD unless specified otherwise)	Minimum Charge
(1)) HK Securities and Warrants		
A	Trade-related services		
	Brokerage commission*1,*7	On transaction amount:	
		0.30% for settlement in HKD / RMB / USD	HKD100 / RMB100 / USD30
•	Stamp duty (Charge levied by the HKSAR Government) (Please refer to HKEX for the applicable products)	0.1% of transaction amount (rounded to nearest dollar)	-
•	SFC transaction levy (Charge levied by SFC)	0.0027% of transaction amount	_
•	AFRC transaction levy (Charge levied by AFRC) (Please refer to AFRC for the applicable products)	0.00015% of transaction amount (rounded to nearest cent)	-
•	HKEX trading fee (Charge levied by HKEX)	0.00565% of transaction amount	_
•	Investor compensation levy (Charge levied by SFC)	0.002% of transaction amount (suspended by SFC from 19 Dec	_
•	Italian Financial Transaction Tax for purchase of eligible Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong (Charge levied by Italian Government)	2005) 0.1% of transaction amount	-
В	Scrip handling and settlement-related services		
•	Scripless through CCASS		
	- Receipt	Waived	-
	- Delivery	0.05% of previous day market value per security per transaction *2	HKD100
	Physical scrip	liansaction	
	- Deposit	Waived	_
	- Withdrawal	HKD5 per board lot	HKD200
	Multiple counter transfer (For Dual-Currency-Dual-Counter shares)	HKD120 per stock per transaction	_
_	Nominee services and corporate actions*15		+
С.	Dividend collection ^{*3}	0.25% of dividend amount per stock*1	HKD30 / RMB30
	Cash offers	0.25% on each cash consideration*1	HKD30 / RMB30
	Open offers / Share offers / Right exercise / Warrant conversion /	HKD / RMB1 per board lot*1	HKD30 / RMB30
	Auto-cash settlement		
•	Registration Charge		
	- Scrip fee	HKD / RMB1.5 per board lot ^{*1}	_
	- Handling fee	HKD / RMB2 per board lot	_
		(Maximum: HKD / RMB20,000) ⁻¹	
•	Dividend claim	HKD500 per dividend item	-
D	Account maintenance*15 Custody fee	Waived	_
E	IPO services		+
٦.	Subscription handling charge	Waived	_
	IPO subscription, placements, and sub-underwriting brokerage	Subject to market condition	_
	commission		
	HK Stock Options		
A	Trade-related services	HKD20 per centract	HKD100
:	Brokerage commission Exchange tariff (Charge levied by HKEX)	HKD30 per contract Tier 1: HKD3 per contract	HKD100
•	Exchange tallii (Charge levieu by ITNEA)	Tier 2: HKD1 per contract	
		Tier 3: HKD0.5 per contract	-
В	Fees due to option exercise / assignment		
•	Commission*4	0.30% of transaction amount for settlement in HKD / RMB / USD	HKD100 / RMB100 / USD30
•	Stamp duty (Charge levied by the HKSAR Government)	0.1% of transaction amount (rounded to nearest dollar)	_
•	SFC transaction levy (Charge levied by SFC)	0.0027% of transaction amount	-
•	HKEX trading fee (Charge levied by HKEX)	0.00565% of transaction amount	-
•	Exercise fee (Charge levied by HKEX)	HKD2 per contract	_
С	Custody		
•	Selling covered call	HKD120 per stock per transaction	-
	(Transfer of stocks from securities account to option account)		
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G46 (MAY25)



HSBC Broking Services (Asia) Limited

and its wholly owned subsidiaries (collectively, "HSBC Trading Advisory")

Fees and Charges Schedule

(III) Shanghai and Shenzhen Stock Connect Shanghai A-shares / ETF Trade-related services for A-shares Brokerage commission*4,* RMB100 0.30% of transaction amount Handling fee (Charge levied by SSE) 0.00341% of transaction amount Securities management fee (Charge levied by CSRC) 0.002% of transaction amount Transfer fee (Charge levied by ChinaClear) 0.001% of transaction amount Transfer fee (Charge levied by HKSCC) 0.002% of transaction amount Stamp duty (For sell transaction only) (Charge levied by SAT) 0.05% of transaction amount A2 Trade-related services for ETF 0.30% of transaction amount RMB100 Brokerage commission*4, Handling fee (Charge levied by SSE) 0.0040% of transaction amount Securities management fee (Charge levied by CSRC) Waived Transfer fee (Charge levied by ChinaClear) Waived 0.002% of transaction amount Transfer fee (Charge levied by HKSCC) Stamp duty Waived Custody, nominee services and corporate actions*15 В Custody fee RMB100 per quarter 0.01% p.a.*5 Scripless through CCASS - Receipt Waived RMB100 - Delivery 0.05% of previous day market value per security per 0.25% of dividend amount per stock RMR30 Dividend collection*3 Cash offer 0.25% on each cash consideration RMB30 RMB30 Right exercise / Share offer / Open offer / Other corporate actions RMB1 per board lot involving non-cash items Shenzhen A-shares / ETF Trade-related services for A-shares A1 RMB100 0.30% of transaction amount Brokerage commission*4,5 Handling fee (Charge levied by SZSE) 0.00341% of transaction amount Securities management fee (Charge levied by CSRC) 0.002% of transaction amount Transfer fee (Charge levied by ChinaClear) 0.001% of transaction amount Transfer fee (Charge levied by HKSCC) 0.002% of transaction amount Stamp duty (For sell transaction only) (Charge levied by SAT) 0.05% of transaction amount Trade-related services for ETF A2 RMB100 Brokerage commission*4, 0.30% of transaction amount Handling fee (Charge levied by SZSE) 0.0040% of transaction amount Securities management fee (Charge levied by CSRC) Waived Transfer fee (Charge levied by ChinaClear) Waived Transfer fee (Charge levied by HKSCC) 0.002% of transaction amount Stamp duty Waived Custody, nominee services and corporate actions 15 R Custody fee 0.01% p.a.*5 RMB100 per quarter Scripless through CCASS - Receipt Waived 0.05% of previous day market value per security per - Delivery RMB100 transaction 0.25% of dividend amount per stock RMB30 Dividend collection*3 Cash offer 0.25% on each cash consideration RMR30 Right exercise / Share offer / Open offer / Other corporate actions RMB1 per board lot RMB30 involving non-cash items

Rate (in HKD unless specified otherwise)

Effective Date: 13 May 2025

Minimum Charge



Fees and Charges Schedule

(IV) Overseas Securities Shanghal B-shares (No acceptance of buy order instructions since 27 Jun 2016) A Trade-related services - Brokerage commission ** - Stamp due (Fortage levied by SSE) - Securities management fee (Charge levied by CSRC) - Stamp due (Fortage levied by CSRC) - Stendard Commission ** - Verbursty commission ** - Handling fee (Charge levied by CSRC) - Stamp due (Fortage levied by CSRC) - Stamp d	ع	Service	Rate (in HKD unless specified otherwise)	Minimum Charge
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Trade-related services D.8% of transaction amount USD40				
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- Custody fee		, , ,		_
. Custody fee 0.03% p.a.				
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- Voluntary corporate action USD20 per transaction — Shenzhen B-shares (No acceptance of buy order instructions since 27 Jun 2016) A Trade-related services - Brokerage commission.**7 - Handling fee (Charge levied by SZSE) - Securities management fee (Charge levied by CSRC) - Clearing fee (Charge levied by Chinacilean) - Stamp duly (For sell transaction only) (Charge levied by SAT) - Custody, fee - Receipt and delivery - Dividend collection.3 - Voluntary corporate action. - Brokerage commission.** - Custody fee - Receipt and delivery - Dividend collection.3 - SEC URB (No provided action only) (Charge levied by SAT) - USD40 - USD40 - Dividend collection.3 - Voluntary corporate action - Waived - USD60 per security - Dividend collection.5 - Custody fee - Receipt and delivery - Dividend collection.5 - SEC lee (For both buy and sell transactions) - SEC lee (For both buy and sell transactions) - SEC lee (For both buy and sell transactions) - Custody fee - Receipt and delivery - Dividend collection.5 - Vuluriary corporate action - Waived - USD50 per security - Dividend collection.5 - Vuluriary corporate action - Waived - USD50 per security - Dividend collection.5 - Vuluriary corporate action - Waived - USD50 per security - Dividend collection.5 - Vuluriary corporate action - Waived - USD50 per security		· · · · · · · · · · · · · · · · · · ·		-
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Non-crest: GBP100 per security Physical scrip: Deposit and withdrawal Dividend collection 3 Voluntary corporate action Australia Securities Trade-related services		· ·	0.03% p.a. ⁵	GBP10 per quarter
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Fees and Charges Schedule

Service Rate (In HKD unless specified otherwise) Minimum Ch (IV) Overseas Securities	arge
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Clearing fee 0.03% of transaction amount (Maximum: MYR1,000) NOC 5	_
Stamp duty MYR1.5 per every MYR1,000 transaction amount (Maximum: MYR1.5 MYR1,000)	
B Custody, nominee services and corporate actions 15	
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Receipt and delivery USD100 per security	_
• Dividend collection 3 0.5% of dividend amount per stock USD20	
Voluntary corporate action USD20 per transaction	_

G46 (MAY25)



Fees and Charges Schedule

	Service	Rate (in HKD unless specified otherwise)	Minimum Charge
ΊV) Overseas Securities		
_	Philippines Securities		
Α	Trade-related services		
•	Brokerage commission*4,*7	0.8% of transaction amount	PHP2,500
•	Clearing fee	0.01% of transaction amount	_
•	VAT	0.048% of transaction amount	_
•	Sales tax (For sell transaction only)	0.6% of transaction amount	_
В	Custody, nominee services and corporate actions 15		
•	Custody fee	0.03% p.a.*5	PHP550 per quarter
•	Receipt and delivery	USD100 per security	_
•	Dividend collection*3	0.5% of dividend amount per stock	USD20
•	Voluntary corporate action	USD20 per transaction	_
Tai	wan Securities (No acceptance of buy order instructions since	26 Apr 2024)	
Α	Trade-related services		
•	Brokerage commission*4,*7	0.8% of transaction amount	TWD5,000
•	Stamp duty (For sell transaction only)	0.3% of transaction amount	-
В	Custody, nominee services and corporate actions 15		
•	Custody fee	0.03% p.a.* ⁵	TWD35 per quarter
•	Dividend collection*3	0.5% of dividend amount per stock	USD20
<u>·</u>	Voluntary corporate action	TWD500 per transaction	
	ailand Securities		T
A	Trade-related services	0.00/ -f-t	TUDO 000
:	Brokerage commission*4,*7 VAT	0.8% of transaction amount 0.0035% of transaction amount	THB2,000
•		0.0035% of transaction amount	_
	Custody, nominee services and corporate actions 15		
В	Custody fee	0.03% p.a. ^{*5}	THB450 per quarter
в.			
в	Receipt and delivery	USD100 per security	LIODOS
B •	Dividend collection ^{*3}	0.5% of dividend amount per stock	USD20
Oth	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium#, De	0.5% of dividend amount per stock USD20 per transaction mmark [#] , Finland, France, Germany, Ireland, Italy, Ne	etherlands, Norway [#] ,
Oth	Dividend collection ^{'3} Voluntary corporate action	0.5% of dividend amount per stock USD20 per transaction mmark [#] , Finland, France, Germany, Ireland, Italy, Ne	etherlands, Norway [#] ,
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) #Belgium, Denmark, Trade-related services	0.5% of dividend amount per stock USD20 per transaction enmark [#] , Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1	etherlands, Norway [#] , I5 Jan 2021)
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium#, Dertugal, Spain, Sweden#, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty	0.5% of dividend amount per stock USD20 per transaction enmark [#] , Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1	etherlands, Norway [#] , 15 Jan 2021) Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium#, Dertugal, Spain, Sweden#, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15	0.5% of dividend amount per stock USD20 per transaction nmark [#] , Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1 0.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only)	Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order Others: EUR170 per order
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium#, Dertugal, Spain, Sweden#, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty	0.5% of dividend amount per stock USD20 per transaction nmark*, Finland, France, Germany, Ireland, Italy, New Norway, Sweden (No acceptance of buy order instructions from 10.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction	etherlands, Norway [#] , 15 Jan 2021) Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium#, Dertugal, Spain, Sweden#, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15	0.5% of dividend amount per stock USD20 per transaction mmark*, Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1 0.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a.*5 Ireland	GBP5 or equivalent
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee	0.5% of dividend amount per stock USD20 per transaction nmark*, Finland, France, Germany, Ireland, Italy, New Norway, Sweden (No acceptance of buy order instructions from 10.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a.*5 Ireland Crest: GBP30 per security	GBP5 or equivalent
Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee	0.5% of dividend amount per stock USD20 per transaction nmark*, Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1 0.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a.*5 Ireland Crest: GBP30 per security Non-crest: GBP100 per security	GBP5 or equivalent
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Oth Por	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee	0.5% of dividend amount per stock USD20 per transaction mmark [#] , Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1 0.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a. '5 Ireland Crest: GBP30 per security Non-crest: GBP100 per security Others	Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order Others: EUR170 per order GBP5 or equivalent
Oth Pol	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee Receipt and delivery Dividend collection 3 Voluntary corporate action	0.5% of dividend amount per stock USD20 per transaction mmark*, Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1 0.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a.*5 Ireland Crest: GBP30 per security Non-crest: GBP100 per security Others GBP60 per security	Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order Others: EUR170 per order - GBP5 or equivalent per quarter
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Oth Poi A	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee Receipt and delivery Dividend collection 3 Voluntary corporate action plicable to All Overseas Markets Trade-related services	0.5% of dividend amount per stock USD20 per transaction nmark*, Finland, France, Germany, Ireland, Italy, New Norway, Sweden (No acceptance of buy order instructions from 10.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a. '5 Ireland Crest: GBP30 per security Non-crest: GBP100 per security Others GBP60 per security 0.5% of dividend amount per stock GBP15 per transaction	Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order Others: EUR170 per order GBP5 or equivalent per quarter
Oth Por A	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee Receipt and delivery Dividend collection 3 Voluntary corporate action plicable to All Overseas Markets Trade-related services Italian Financial Transaction Tax for purchase of eligible Italian companies with market capitalization of more than Euro 500m and listed on a Italian or foreign regulated market (Charge levied by Italian	0.5% of dividend amount per stock USD20 per transaction mmark*, Finland, France, Germany, Ireland, Italy, Ne Norway, Sweden (No acceptance of buy order instructions from 1 0.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a. '5 Ireland Crest: GBP30 per security Non-crest: GBP100 per security Others GBP60 per security 0.5% of dividend amount per stock	Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order Others: EUR170 per order GBP5 or equivalent per quarter
Oth Port	Dividend collection 3 Voluntary corporate action ner Western Europe Markets (ie Austria, Belgium*, Dertugal, Spain, Sweden*, Switzerland) #Belgium, Denmark, Trade-related services Brokerage commission 4 Transaction fee / Stamp duty Custody, nominee services and corporate actions 15 Custody fee Receipt and delivery Dividend collection 3 Voluntary corporate action plicable to All Overseas Markets Trade-related services Italian Financial Transaction Tax for purchase of eligible Italian companies with market capitalization of more than Euro 500m and	0.5% of dividend amount per stock USD20 per transaction nmark*, Finland, France, Germany, Ireland, Italy, New Norway, Sweden (No acceptance of buy order instructions from 10.8% of transaction amount Ireland stocks: 1.0005% of transaction amount (For buy transaction only) 0.03% p.a. '5 Ireland Crest: GBP30 per security Non-crest: GBP100 per security Others GBP60 per security 0.5% of dividend amount per stock GBP15 per transaction	Germany: EUR100 per order France: EUR120 per order Switzerland: CHF200 per order Others: EUR170 per order GBP5 or equivalent per quarter



Fees and Charges Schedule

	Service	Rate (in HKD unless specif	ed otherwise)	Minimum Charge
1	Futures / Options ^{*8}			
	•	Futures ^{*9}	Options*10	
	Hong Kong Futures ^{*12}	Day Trade / Overnight Brokerage Commission	Brokerage Commission	
•	Hang Seng Index	HKD50 / 90	1% of consideration (Maximum: HKD200)	HKD50 for Options
•	Hang Seng TECH Index	HKD50 / 90	1% of consideration (Maximum: HKD200)	HKD50 for Options
•	Hang Seng China Enterprises Index	HKD50 / 90	1% of consideration (Maximum: HKD200)	HKD50 for Options
	MSCI Index Futures (HKD Denominated)	HKD15 / 20	_	_
	MSCI Index Futures (USD Denominated)	USD5 / 10	_	_
•	Mini Hang Seng Index	HKD15 / 20	HKD50	_
	Mini Hang Seng China Enterprises Index	HKD15 / 20	HKD50	_
	HSI Dividend Point Index Futures	HKD30 / 40	_	_
	HSCEI Dividend Point Index Futures	HKD30 / 40	_	_
	Sector Index Futures*11	HKD30 / 40	_	_
	CES China 120 Index Futures	HKD50 / 90	_	_
	HSI Volatility Index Future	HKD50 / 90	_	_
	USD/CNH Futures	RMB50 / 90	1% of consideration	RMB50 for Options
	665,61411 dialos	NWEGO / GO	(Maximum: RMB200)	Kivibao foi Options
•	Mini USD/CNH Futures	RMB15 / 20	_	_
•	EUR/CNH Futures	RMB30 / 40	_	_
•	JPY/CNH Futures	RMB30 / 40	_	_
•	AUD/CNH Futures	RMB30 / 40	_	_
•	CNH/USD Futures	USD5 / 10	_	_
•	Stock Futures	HKD15 / 20	_	-
	Global Futures ^{*12}	Day Trade / Overnight		
•	USD Denominated Products			
	- Regular Futures	USD30 / 40	USD50	_
	- Mini Futures	USD25 / 40	USD50	_
	- Micro Mini Futures	USD25 / 40	USD50	_
•	CHF Denominated Products	CHF30 / 40	_	-
•	AUD Denominated Products	AUD30 / 40	_	_
•	SGD Denominated Products	SGD30 / 40		_
•	EUR Denominated Products	EUR25 / 40	EUR30	_
•	GBP Denominated Products	GBP15 / 20	GBP30	_
•	JPY Denominated Products			
	- Regular Futures	JPY3,500	JPY3,500	_
	- SGX Mini JGB	JPY3,000	_	_
	- Osaka Exchange	JPY5,000	JPY5,000	_
V) Debt Securities - Over The Counter			
•	Custody fee	0.03% p.a. on nominal value*13		_
•	Coupon / Redemption / Corporate actions	Waived		-
•	Receipt and delivery	Receipt: Waived		_
		Delivery: USD50 per debt securit	y / note	-
۷	II) Leveraged Foreign Exchange			
•	Interest on deferred position	Please refer to clause B, 5.1 of F Terms of Business	ISBC Broking Forex (Asia) Limited	's –
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Fees and Charges Schedule

	Service	Rate (in HKD unless specified otherwise)	Minimum Charge
(V	III) Financing and Other Services		
À	Financing		
•	Margin account financing charges	HKD: 1-week HIBOR on 1 st working day of the week + 5% p.a. Other Currency: Prime Rate + 2% p.a.	- -
•	Cash account overdue interest	HKD: 1-week HIBOR on 1 st working day of the week + 5% p.a. Other Currency: Prime Rate + 2% p.a.	- -
•	Financing charge on IPO subscription	Subject to market condition	_
•	Administration fee on returned cheque (all currencies)	HKD200 per cheque	_
В	Others		
•	General account maintenance fee	HKD200 p.a.*14	_
	Outgoing Remittance handling charge		
	- Local	HKD190 per transaction	_
	- Overseas	HKD220 per transaction denominated in HK dollar or in RMB USD20 per transaction denominated in foreign currencies	- -
	- Amendment / Cancellation / Enquiry	HKD350 per transaction	_
•	Stop cheque payment	HKD100 per cheque	_
•	Re-print contract note / Daily activity statement / Monthly activity statement	HKD3 per page	HKD100 per request
•	Capital Investment Entrant Scheme (Scheme suspended for new application from 15 January 2015 by The Government of the Hong Kong SAR)		
	- Account maintenance fee	$0.5\%\ p.a.$ on average portfolio value (Debit on the first business day of every quarter)	-
•	Individual Client Segregated Account with Euroclear Bank SA/NV (per account)		
	- Account Opening Fee	USD200	_
	- Monthly Account Maintenance Fee	USD1,000 ^{*16}	_



HSBC Broking Services (Asia) Limited and its wholly owned subsidiaries (collectively, "HSBC Trading Advisory") Fees and Charges Schedule

Effective Date: 13 May 2025

Notes:

The charges of stocks traded in HKEX denominated in USD are as following:

Open offers / share offers / right exercise / warrant conversion / auto-cash settlement: USD0.15 per board lot (Min: USD5); Registration charge: Scrip fee USD0.2 per board lot and Handling fee USD0.25 per board lot (Max: USD2,500). Minimum charge of "Dividend collection" and "Cash offers" is USD5.

- ² The charges will be settled in USD / RMB equivalent calculated at a rate of exchange determined by HSBC Trading Advisory for stock denominated in USD and RMB respectively.
- Fees and charges of dividend collection are collected in the currency in which these dividends are received. These fees and charges are subject to the specified minimum amount in that currency equivalent calculated at a rate of exchange determined conclusively by HSBC Trading Advisory on the basis of the then-prevailing market rates of exchange between the two currencies.
- For the design of the specified minimum amount in that currency equivalent calculated at a rate of exchange determined conclusively by HSBC Trading Advisory on the basis of the then-prevailing market rates of exchange between the two currencies.
- The custody fees for stocks will be calculated based on the daily average market value of the customer's stock portfolio. The annual custody fees for Shanghai and Shenzhen Stock Connect and overseas securities will be charged on a quarterly basis and are payable in January, April, July and October of every year. The custody fees will be waived for the quarter if there is no stock portfolio in the trading account by the end of the quarter. The custody fees for exchange-traded debt securities will be calculated based on the nominal value of the exchange-traded debt securities holdings, and will be charged on a half-yearly basis and are payable in January and July of every year; if an exchange-traded debt securities holding is disposed of, redeemed, delivered or matures, a pro-rata custody fee will be charged at the time of disposal, etc. The custody fees for non-HKD stocks or exchange-traded debt securities will be settled in HKD equivalent calculated at a rate of exchange determined conclusively by HSBC Trading Advisory on the basis of the then-prevailing market rates of exchange between the two currencies on the last trading day of each quarter or each half year respectively.
- ¹⁶ Customers holding ADRs may be charged ADR fee regularly (eg annually) by the depository receipts agent through HSBC Trading Advisory. Such fee will be automatically deducted from the customer's account(s) and shown on the account statement(s) as "ADR fee". ADR fee is subject to the final confirmation from the depository receipts agent and the fee range is for reference only.
- ¹⁷ The brokerage commission together with other applicable fees and charges (eg government charges/taxes, regulators' charges) of applicable markets are calculated on the basis of the aggregated transaction amount of the purchase or sale of the same stock executed on the same trading day.
- Brokerage commissions on futures and options trading in Hong Kong and the US market do not include stamp duty, exchange fee, levy, trading fee, settlement fee, nominee service fee, custodian fee or other miscellaneous fees which are to be charged by third parties and may vary from time to time subject to the market.
- ¹⁹ Brokerage commission is charged on a per contract basis and a settlement commission at the same rate as overnight rate will be charged for the settlement of futures when the contract expires.
- *10 An assignment/exercise fee will be charged as follows when options are assigned/exercised:

Options Products	Rate
Hang Seng Index and Hang Seng China Enterprises Index	1% on the cash settled amount (Min: HKD30 / Max: HKD100)
Hang Seng Index and Hang Seng China Enterprises Index (Weekly)	1% of the cash settled amount (with InkD307 wax. InkD100)
Mini Hang Seng Index and Mini Hang Seng China Enterprises Index	HKD30 per contract
USD/CNH	RMB30 per contract
Global Options to be settled by physical delivery	Refer to the overnight brokerage rate on each particular futures product
Global Options to be settled by cash	Refer to the brokerage rate on each particular options product

- 11 Sector Index Futures include but not limited to Hang Seng Mainland Banks Index Future.
- 112 When customers use Mini / Micro Mini futures to offset any Regular future(s) position or vice versa, brokerage commission at the same rate as overnight rate will be charged on a per contract basis.
- 13 Custody fee for over-the-counter debt securities will be charged on a half-yearly basis and are payable in January and July of every year. Custody fee will be also charged upon disposal, redemption, delivery or maturity. Such custody fee will be settled in HKD equivalent calculated at a rate of exchange determined conclusively by HSBC Trading Advisory.
- *14 Applicable to accounts with no trading for more than 12 months.
- 15 Fee and charges for custody, nominee services and corporate actions are based on the jurisdiction in which securities are ordinarily held by HSBC Trading Advisory's ultimate custodian.
- The account maintenance fee is payable in advance of at the beginning of each calendar month and starts to charge on the next calendar month after the Individual Client Segregated Account (ISA) has been opened. The fee is payable regardless of whether any products are held in the ISA during a given month. It is not refundable on a pro-rata basis. For more information on this account type, please see https://www.hsbc.com.hk/broking/help/financial-regulations/.
- *17 Brokerage Commission is applicable due to option exercise / Assignment of US Stock Options

Remarks:

- i Good-till-expiry (GTE) orders for securities: if a GTE order is completely filled on the same trading day, it will be considered as one executed order and therefore as one order for brokerage commission calculation (including minimum charges). If a GTE order is filled across multiple days, it will be considered as multiple executed orders and each day order filled will be considered as one order for brokerage commission calculation (including minimum charges). For example, if it is partially filled on Day 1, Day 3 and completely filled on Day 5, it will be considered as 3 executed orders for brokerage commission calculation (including minimum charges).
- The fees and charges indicated herein are for customer's reference only. Please refer to the daily and monthly activity statements for the actual fees and charges applicable to customer's account(s).
- iii Customers shall reimburse any out of pocket expenses and administrative costs incurred by HSBC Trading Advisory as demanded. A special handling charge may be imposed on a case by case basis where appropriate.
- iv Leveraged foreign exchange trading is not available to persons resident in mainland China.
- v All the above fees and charges may be subject to change without prior notice.
- vi In the event of any inconsistency between the English and Chinese versions of this Schedule, the English version shall prevail.

Issued by HSBC Broking Services (Asia) Limited and its wholly owned subsidiaries

- HSBC Broking Forex (Asia) Limited
- HSBC Broking Futures (Asia) Limited
- HSBC Broking Securities (Asia) Limited (collectively, "HSBC Trading Advisory")

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